LAB Wealth Management LLC

Confidential Financial Planning Evaluation Document Request

Income

Paystub(s), pension income, Social security income (social security benefits at age 62 / full retirement age and 70 visit www.ssa.gov), rental income and any other income sources

Expenses

Total monthly budget or estimates of major line items, including property taxes and all insurance premiums; Loan balance with interest rate – credit card(s), student loan debt, automobile(s), 1st and 2nd mortgage statements, timeshare (including maintenance fees), debt on rental properties, and any secured and unsecured debts

Investments

Non-retirement account statements: savings, CD's money market, brokerage accounts, 529 College savings, accounts for minors

Annuities- retirement and non-retirement types

Retirement account statements: Traditional IRA, Roth IRA, 401k/403B/457, thrift savings plan, all other retirement account types

Stock options, Employee stock purchase plans, other incentive plans

Expected Pension benefits @ expected retirement / formula used

Estimated value of primary home, vacation home, rental properties, other major assets such as art and other collectables

Income Tax

Federal: most recent two years, include all W-2 and associated documents submitted to tax planner

State: Last two years

Life Insurance Protection

Term, Universal Life, Variable Life, Whole- life through work or separately help – info on premium/ death benefit / cash value / year placed in-force

Disability, long-term care, medical coverage info

<u>Business Interest</u>

Type, % ownership and estimated valuation, TAX ID, corporate resolution

<u>Living Trust / Will</u>

Date Established, Grantors and Trustees, successor Trustees

Beneficiary info – Spouse, children and others: name, date of birth, relationship, and % to each, SSN (if available – needed for some account types)

<u>Personal identifying information</u>

Driver's license or other State issued ID (date of issue, expiry, Number) SSN, Date of birth, job title, employer name and address, resident alien card- if applicable (number, date of issue and expiry)

<u>Banking info</u>

A void check or an account statement with your account number and name, or a letter from your bank showing ownership of account--- all parties on the check will need to sign all initial account documents for transfers

<u>Contact information of other professionals whom you work with closely</u>

Tax preparer / CPA

Estate planning Attorney

Any other investment professionals